

Center hosts second Indo-Pacific Strategy Workshop

By Dr. Saira Yamin

DKI APCSS recently conducted its second in-resident workshop on the United States' Free and Open Indo-Pacific (FOIP) strategy. The strategy was announced by President Trump nearly a year ago and signals the United States' strong commitment to the Indo-Pacific region through defense, diplomacy, trade and economic initiatives.

Working in concert with the Office of the Assistant Secretary of Defense for Asian and Pacific Security Affairs, DKI APCSS has been working to advance understanding of the key underlying principles and implementation of the FOIP. The workshop brought together 26 senior leaders from the Indo-Pacific Region with 12 U.S. Defense, State and National Security Council officials in Hawaii on October 24-26. The workshop aimed to provide a more comprehensive understanding of the U.S. strategic vision and implementation in the Indo-Pacific region, to elicit the perspectives of partner nations, and to consider opportunities for strengthening cooperation.

This workshop built upon the results of the first Indo-Pacific strategy workshop held at DKI APCSS in April 2018, and included 14 returning participants from the April discussions. Together the two events reaffirmed the value of continued dialogue to foster robust and enduring collaboration in support of the FOIP principles.

Five senior U.S. government officials presented the U.S. programs and perspectives. These included Dr. Joseph Felter, Deputy Assistant Secretary of Defense for South and Southeast Asia; Mr. Matt Pottinger, Deputy Assistant to the President and Senior



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Director for Asia, National Security Council; Mr. Walter Douglas, Deputy Assistant Secretary, Department of State; Ms. Lisa Curtis, Deputy Assistant to the President and Senior Director, National Security Council; and Mr. Thomas Vajda, Acting Principal Deputy Assistant Secretary of State in the Bureau of South Central Asian Affairs.

Actions to support the principles of a free and open Indo-Pacific were identified in their presentations. A rules-based order, freedom of navigation, trade and investment, digital connectivity, ASEAN centrality and the U.S. relationship with India generated strong interest and a robust exchange of views. The dialogue showcased a free-flowing and productive articulation of opportunities for regional economic development through consultative strategy development, good governance, private sector-led investment, and human capital and capacity building. Women's empowerment, people-to-people ties, and global climate change were mentioned also needing attention in specific sub-regions.

There was a strong consensus on core principles of a free and open

Indo-Pacific. Some participants recommended that regional 'inclusivity' could be adopted as a key principle of the FOIP. However, participants cited concerns that unilateral actions create a contradictory narrative to an inclusive FOIP. Developing a plan for effectively communicating the principles and benefits of an FOIP emerged as a priority recommendation from the region.

Director retired Rear Adm. Peter Gumataotao lauded the event as one that is "worth noting given the dynamic nature of the planning phase and strategic implications it had in helping shape future U.S. policy in the region." Dr. Lori Forman, the workshop's academic lead remarked that, "The highest value of the DKI APCSS approach is not in the transmission of updates, but in the cross-talk and active listening that is at the heart of our programs. Candid discussion is essential for building consensus on the principles of a free and open Indo-Pacific and for charting the way ahead." She added that, "We were delighted to facilitate this important and timely discussion among so many senior officials."

Alumni Bring Regional Perspective to Maritime Security Challenges

By Lt. Col. Scott McDonald, USMC

The end of the relative stability of the bi-polar Cold War has given way to a complex and challenging security environment. Meanwhile, the growth of multinational supply chains and supranational information flows have emphasized the importance of the sea in a manner not appreciated since the Nineteenth Century. These factors have highlighted the need for cooperative solutions to the security challenges of what Commander of the Royal Canadian Navy (RCN), Vice-Admiral Ron Lloyd calls the “Maritime Century.”

With this context, security practitioners from Canada and across the Indo-Pacific gathered in Victoria, British Columbia October 16-18, 2018 for the eighth iteration of the bi-annual Maritime Security Challenges conference. This event, co-presented by the RCN, the Navy League of Canada, and the Daniel K. Inouye Asia-Pacific Center for Security Studies (DKI APCSS), brought together over 180 practitioners from 23 countries to explore issues ranging from building the future fleet to building strategic resolve, and everything in between.

In 2012, DKI APCSS was invited to join the conference to leverage its world-class faculty and extensive alumni network, and MSC-18 was no exception. In addition to leveraging the expertise of geostrategic experts like Dr. Mohan Malik, the Center was able to access its alumni network and bring six alumni subject matter experts to present regional views on the challenges facing the region.

Ms. Hoang Thi Ha (ASC12-1) of the Association of Southeast Asian Nations Studies Centre was the first alumni presenter, bringing not only a regional perspective to building strategic resolve, but ensuring conference attend-

ees looked beyond defense policy and grey-hulled ships to understand the value that partnering and multilateral organizations bring to regional security. She was followed by a panel on “The Future Fleet,” where Lieutenant General Jun Nagashima (TS15-1) of the Japanese Air Self Defense Force challenged the concept of single domain warfare and encouraged attendees to think differently about how air power is employed in the maritime environment. He was joined by Vice Admiral (ret) P.K. Chatterjee (SEC08-2) of the Indian Navy, who gave a regional impression of the expansion of submarine fleets and what their use and maintenance means for the region.

Three more alumni formed an all-DKI APCSS panel to highlight the value of cooperation. The three presentations linked the importance of cooperation from the strategic to tactical level. Vice-Admiral (ret) Jose Luis M. Alano (TSC09-1), formerly of the Philippine Navy, but now with the National Coast Watch Council Secretariat, provided a strategic and structural view of cooperation as network building. He was followed by Captain (Maritime) Ahmad Faridi bin Ferdaus (CSRT16-1) of the Malaysian Maritime Enforcement Agency, who demonstrated the nature of transnational criminal networks and how the solution requires networks both within and between regional states. Finally, Lieutenant Colonel Ngo Xuan Truong (ASC17-1) of Vietnam’s Institute of Defense International Relations used this backdrop to discuss the importance of cooperation to his own country, tying the strategic to the tactical-level of cooperation.

All alumni received many compliments and thanks for their performance, one participant noting the “world-class talent” that DKI APCSS



DKI APCSS Director Pete Gumataotao provides opening remarks.

brought to the event. Of course, no trip would be complete without an alumni function, where Director Gumataotao welcomed approximately a dozen alumni from the Victoria DKI APCSS Alumni Association. Cooperation among alumni was reflected the next morning in Director Gumataotao’s presentation emphasizing the cooperative and principles-based approach advocated in the U.S. National Security Strategy and the Free and Open Indo-Pacific framework. He was followed by DKI APCSS Military Professor Lieutenant Colonel McDonald, who facilitated a polling session to bring a broader cross-section of the conference attendees into the conversation. Through polling responses and comments this emphasized the universal nature of the principles represented in the U.S. approach to regional security.

Overall, Maritime Security Challenges-18 was considered a useful tool for encouraging security practitioners who routinely work with Indo-Pacific states to hear the opinions and listen to the viewpoints of those who live in the region. This was made possible, in large part, by the continuing participation and commitment to excellence and cooperation demonstrated routinely by the DKI APCSS alumni network.

U.S., Taiwan Lead Multilateral Workshop on Private, Civil and Public Disaster Response Cooperation



Dr. LI, deputy director of NCDR, gave participants a tour of Taiwan's emergency ops center.

Disaster management professionals from 12 Indo-Pacific countries including Taiwan and the U.S., conducted a workshop December 11-14 to explore ways to integrate private, civil and public sectors into disaster response.

The Daniel K. Inouye Asia-Pacific Center for Security Studies (DKI APCSS) and the National Science and Technology Center for Disaster Reduction (NCDR) cohosted the workshop in Taipei, Taiwan titled “Integrating Private, Civil & Public Sector Disaster Response.”

Taiwan is considered by many to be a world leader in disaster response and already integrates private, civil, and public sector response in planning and execution. That experience was on display as Taiwan representatives shared their experience with other participants and cooperatively developed solutions for improving sector integration.

The workshop was conducted in two parts. On December 7, DKI APCSS faculty facilitated a Taiwan

only workshop, during which private, civil, and public sector actors cooperatively developed a list of capabilities that, with the help of public sector facilitation, could be deployed regionally in support of disaster response operations.

Then, on December 11, the three-day multilateral workshop began with Academic Lead Marine Lt. Col. Scott McDonald providing a vision for the participants, who were divided into seminars to discuss the plenary presentations and identify the key inhibitors and enablers for regional response integration and develop mitigation measures to map a way forward to increased capability. The DKI APCSS faculty team was comprised of Dr. Saira Yamin, Dr. Deon Canyon, Dr. Benjamin Ryan, and Army Lt. Col. Michael Burgoyne, who each facilitated the seminar discussions.

On the second day of the workshop, NCDR Secretary General Dr. LI Wei-Sen hosted workshop participants for a tour of Taiwan’s Central Emergency Operations Center (CEOC) to demonstrate how Taiwan is attempting to activate a whole-of-society disaster response.

NCDR has also demonstrated the integration of information systems built and managed by NCDR, the knowledge and expertise of Taiwan subject matter experts while building relationships with regional disaster management professionals through coordinated teamwork in seminar sessions;

Presentation of Taiwan’s exportable private, civil, and public capabilities to a plenary session was one of the culminating events of the workshop.

The DKI APCSS outreach team was led by Director Pete Gumataotao and the NCDR team was led by Dr. LI. The American Institute of Taiwan (AIT) helped facilitate the workshop and AIT Director, Mr. Brent Christensen (former Foreign Policy Advisor for DKI APCSS) provided opening remarks, along with Dr. HSU Yu-Chin, Deputy Minister, Ministry of Science and Technology and Director Gumataotao.

According to Lt. Col. McDonald, involvement of the private sector represents a vital next step in the evolution of humanitarian assistance that will go some way towards addressing the expanding global gap in capacity and resources, as well as increasing the ability of economies across the region to respond rapidly and cooperatively.

Participants expressed optimism that engagements such as this would increase the ability of regional actors to work together. Moreover, it comes at a time when the region is realizing there is room for this type of cooperation within and between economies.

“This type of engagement with the private sector is exactly what we are trying to work on in my country,” explained one participant.

In fact, it was a type of integration that all participants made headway on this week.

“At first I was very uncomfortable with the exercise methodology, but by Day 3, I realized how amazing the collective thought process had been,” said another participant. 🙌

For more photos, go to our Facebook photo album.



Disaster response specialists from the private, civil and public sectors came together to share best practices at the DKI APCSS/NCDR workshop.



Clockwise from top left: Workshop participants compile their ideas for presentations. Dr. Saira Yamin helps participants consolidate their ideas. Dr. Deon Canyon provides a presentation on Domain and System mapping.

Marshall Center, DKI APCSS Mongolian Alumni Event Strengthens Security Network

By Nadonya Janca - Alumni Relations Specialist,
George C. Marshall European Center
for Security Studies

The George C. Marshall European Center for Security Studies' Alumni Programs hosted an outreach networking event on "Operationalizing Influence in Regional and International Organizations" Jan. 16 at the German Embassy in Ulaanbaatar, Mongolia.

More than 80 alumni attended the event, which was in cooperation with its sister U.S. Department of Defense Regional Center, the Daniel K. Inouye Asia-Pacific Center for Security Studies, and the German and U.S. embassies in Mongolia.

The German Ambassador Stefan Duppel and the American Ambassador Chargé d'Affaires a.i. Manuel P. Micaller gave opening remarks at the event.

Advancing Security Cooperation

"The joint Mongolian Alumni event between us and the Marshall Center was met with enthusiasm and was advantageous for both centers by advancing security cooperation through increasing the alumni networks," said U.S. Army Maj. John R. Carver, deputy chief of the alumni branch at DKI APCSS. "Building relationships of trust between alumni provides an avenue to work together on security issues and contributes to increased stability toward a stronger and more independent Mongolia."

He added that this event was held to encourage alumni from the Marshall Center and DKI APCSS to discuss important security issues and to inspire the security community network to grow and flourish, while providing continuing education. By combining



More than 80 alumni attended the networking event.

the two centers' alumni, the event gave everyone an opportunity to reconnect and establish important connections in the security field.

Encouraging Open Dialogue

"Alumni from the Marshall Center and DKI APCSS saw great importance in joining the networks from both regional centers," said Bolormaa Mashlai, chairperson of the Women Leader Foundation and Marshall Center Alumni Association president in Mongolia. "This event encouraged open dialogue between the two associations and ultimately will create the establishment of one large association."

"We will move forward by selecting board members and combining resources in order to better target security challenges faced by Mongolia," she added.

Marshall Center's professor, Dr. Sebastian von Münchow, talked about dilemmas of contemporary peacekeeping operations. His presentation was followed by discussion, which offered dialogue to find solutions to these

problems.

"I was amazed by the Mongolian efforts to become more engaged in peace operations," von Münchow said. "For years, Mongolian Armed Forces have stood side-by-side with German contingents in Afghanistan. Mongolia also strengthens its role in the frame of the Organization for Security and Cooperation in Europe, and has started to send police staff for peacekeeping missions."

Mongolia's Participation in International Organizations

"The Marshall Center remains devoted to share its insights on peace operations with Mongolian authorities and is looking forward to continuing its cooperation with Ulaanbaatar," he added.

Marshall Center and DKI APCSS Alumna Luguusharav Byambakhand, section chief of North American and European Studies at the Mongolia Institute for Strategic Studies, offered insights on Mongolia's participation in international organizations such as the Organization for Security and Cooperation in Europe. 🇺🇸

DKI APCSS Leadership Curriculum Incorporated into ASEAN Leaders Programme

The Daniel K. Inouye Asia-Pacific Center for Security Studies (DKI APCSS) delivered a course titled “Executive Crisis Leadership: Complexity, Strategy and Foresight” as part of the ASEAN Coordinating Centre for Humanitarian Assistance on disaster management (AHA Centre) executive programme. The AHA Centre Executive (ACE) Programme is designed to develop and enhance the capacity of future leaders in ASEAN to prepare, respond and recover from disasters. This crisis leadership course was specifically designed for the ACE Programme and was delivered by Dr. Deon Canyon and Dr. Benjamin Ryan, DKI APCSS Professors, from September 3 - 5, 2018, at the AHA Centre in Jakarta, Indonesia. There were representatives from Cambodia, Indonesia, Japan, Laos, Malaysia, Myanmar, Philippines, Singapore, Thailand and the AHA Centre.

This course provided current and future leaders with the skills, knowledge and tools required to understand complex problems and systems, develop and implement a strategy, and think strategically about the future. The course included modules on complexity and systems thinking, strategic thinking and futures thinking. This allowed participants to gain familiarity in using several mapping tools as they investigated the complex challenge posed by internal, external, forced and voluntary migration. The identification of key elements, driving forces and stakeholders led to an improved awareness of causal relationships, system chokepoints and change levers that influence outcomes to obtain positive results.

The future thinking aspect of the



course provided participants with the skills and knowledge required to adapt rapidly in a changing world. In forward-looking organizations, this provides a powerful context for policy development, strategic planning, decision-making and even audit and evaluation. The interactive delivery style provided participants with numerous opportunities to interact, analyze problems, explore factors that shape effective crisis management and develop comprehensive strategies and approaches through practice, brief-back and reflections of lessons learned. At the conclusion of the course, participants had identified and explained many considerations faced by leaders as they make decisions to address the multitude of crises, disasters and complex emergencies that potentially bear on the issue of migration.

This was the first time DKI APCSS delivered a course as part of the ACE Programme, which commenced in January 2014 and is funded by the Japan-ASEAN Integration Fund (JAIF).



Top to bottom: Participants in the ASEAN Leaders Programme pose for a group photo. Dr. Deon Canyon presents a gift to a participant. Participants consolidate their ideas to share with the rest of the group.

Trust, Partner-building, Goals of Vietnam Workshop

The Indo-Pacific is in the midst of an epochal change. The global economic shift to Asia, the surge of maritime competition and security issues, and China's continued forward momentum in their Belt and Road initiative demand a sense of urgency in identifying like-minded partners and priority areas of security cooperation.

To build shared understanding and trust in this changing environment, the Daniel K. Inouye Asia-Pacific Center for Security Studies (DKI APCSS) and the Diplomatic Academy of Vietnam (DAV) co-hosted a workshop entitled, "Vietnam in a Changing Region: Looking Ahead to 2025" Sept. 5-7, in Ninh Binh, Vietnam. The workshop identified priority areas where the U.S. and like-minded partners could assist Vietnam in realizing shared visions and goals.

Nearly 30 senior and mid-level officials from both Vietnam and the U.S. interagency participated in the workshop, which included subject-matter experts across a range of disciplines. This was the second bilateral workshop conducted with Vietnam in the past three years. It built upon the results of a 2015 workshop, "Vietnam and Regional Cooperation in the Asia-Pacific," in which participants articulated a future vision for Vietnam's role in the region.

"This workshop combined the strengths of our bilateral relationship with Vietnam, our efforts on Building Partner Capacity, and strategic timing to facilitate an important bilateral discussion as Vietnam prepares to be the ASEAN chair in 2020," said Dr. Lori Forman, DKI APCSS academic lead for the workshop.

U.S. Ambassador to Vietnam Daniel Kritenbrink set the tone for transparent discussion with his opening remarks, and shared elements of the U.S. country strategy for Vietnam at DAV headquarters in Hanoi.

The group then travelled to Ninh Binh for two days of in-depth discussion. DKI APCSS professor, Dr. Alex Vuving,



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and Dr. Le Dinh Tinh, Deputy Director General and Senior Fellow at the Bien Dong Institute for Maritime Studies at DAV, set the scene by providing U.S. and Vietnamese perspectives on the changing regional dynamics.

During plenary and breakout group sessions, participants discussed the commitment to a Free and Open Indo-Pacific and rising concerns in the non-traditional security fields such as cyber, energy, food and economic se-

cooperation and enhancing connectivity were among the recommendation areas for cooperation.

A corollary outcome of the workshop was exposure to the DKI APCSS model. According to Dr. Le Dinh Tinh, the DKI APCSS approach of polling, plenaries and facilitated breakout group discussion, is "a learning model we will take back to DAV for the long term, as it achieved great collaboration, learning, and measureable outcomes."

"The candor shared during the discussions, just 23 years after restoring diplomatic relations, is a testament to the depth and importance of this relationship."

- Director Gumataotao

curity. Participants worked across their respective professional disciplines to determine which national priorities should be updated, given current and forecasted changes in the region. In revising these priorities, participants also identified opportunities for expanding cooperation with the United States and like-minded partners. Creating a new-generation trade agreement, expanding maritime

"Vietnam is a key partner of the United States," said DKI APCSS Director, Pete Gumataotao. "The candor shared during the discussions, just 23 years after restoring diplomatic relations, is a testament to the depth and importance of this relationship. We look forward to working with Vietnam to jointly advance the principles of a Free and Open Indo-Pacific region." 🐼

DKI APCSS Participates in CVE Exercise



Comprehensive and complex challenges in many parts of the globe continue to be the norm for the United States, underscoring the ongoing importance of improving civilian-military relations among governments, international organizations and non-governmental organizations that provide assistance. The southern Philippines and neighboring countries are illustrative of the challenges. The U.S. Institute of Peace (USIP) and the Joint Staff (JS) J7, in collaboration with U.S. government (USG) agencies, non-governmental organizations (NGOs), as well as U.S. Indo-Pacific Command (USINDOPACOM), designed and implemented a three-day civilian-led exercise in 2018 focused on the Philippines. Dr. Al Oehlers from DKI APCSS participated as a member of the USINDOPACOM team.

The overarching theme for the 2018 Inter-organizational Tabletop Exercise (ITX) was “Preventing and countering violent extremism (P/CVE) in Southeast Asia with primary focus on the southern Philippines.” The 2017 siege of Marawi in Mindanao highlighted two related developments that elevate the importance of the Philippines in countering current and preventing future violent extremism: ISIS stepped up their activity and recruitment in

Southeast Asia with a particular focus on the southern Philippines; and ISIS and local insurgents were able to conduct urban warfare and hold the Armed Forces of the Philippines (AFP) at bay for five months.

The rebuilding of Marawi and the uncertain outcome of the upcoming January 2019 plebiscite on the Bangsamoro Organic Law offered valuable opportunities for exercise participants to rethink their assistance, plan for contingencies in consultation with other organizations engaged in the region and for the military to assess how best to strengthen its strategic partnership with the Philippine Government and the AFP.

These unsettling developments challenge efforts in combatting and preventing violent extremism. The Philippines Government remains a pivotal actor and partner for the U.S., while the U.S. - Philippine strategic alliance has been a cornerstone of regional stability. Crucial considerations like these constituted the heart of the exercise.

From Sept. 11-12, representatives from 21 bureaus and offices in three U.S. government (USG) agencies, nine non-governmental organizations (NGOs), as well as three additional interorganizational partners and a key international partner participated in

the 2018 ITX. A senior leader session followed on Sept. 13 to discuss the findings and recommendations that emerged from the exercise.

Senior Leaders agreed that P/CVE is embedded in a larger system and cannot be separated from the political and peace processes. Inclusive participation of local groups and actors is necessary for progress.

Demobilization of insurgents, greater risk tolerance, reliable and flexible funding were identified as key issues requiring further work to improve the effectiveness of external assistance. Additionally, legal impediments such as those relating to demobilization efforts for designated foreign terrorist organizations were highlighted for further attention. 🐦

DKI APCSS visits ASEAN Secretariat



On Tuesday April 17, 2018, Dr. Justin Nankivell, Mr. Jacky Ly U.S. Mission to ASEAN, and Associate Professor Benjamin Ryan met with Ms. Mala Selvaraju, Assistant Director, and Ms. Airin Rachmas, Technical Officer, from the ASEAN Secretariat in Jakarta, Indonesia. An overview of DKI APCSS activities in the region was provided including the Maritime Shared Awareness workshop and engagement with the AHA Centre.